

Utah Department of Agriculture & Food 4315 South 2700 West TSOB South Bldg, Floor 2 Taylorsville, UT 84129-2128	MEDICAL CANNABIS PROCESSING ESTABLISHMENT APPLICATION CHECKLIST	Website: www.ag.utah.gov Phone: 801-982-2200 Email: cannabis@utah.gov
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The Application submitted by a Cannabis Processing Facility and approved by the Establishment Board will be considered the business's operation plan. UDAF Inspectors will use the operation plan for regulatory purposes. Ensure all information is complete, current, and accurate.

The information provided by the applicant for a Medical Cannabis Production Establishment must fit within the following Guidelines:

- a) Maximum 50 pages
- b) Information provided must be clear and concise; do not repeat information
- c) Ensure each section speaks to the requested information; and are in the same order as application
- d) Everything in the application is contained in a single PDF document.

Utah Department of Agriculture & Food 4315 South 2700 West TSOB South Bldg, Floor 2 Taylorsville, UT 84129-2128	MEDICAL CANNABIS PROCESSING ESTABLISHMENT APPLICATION	Medical Cannabis Program Application Number: _____ (office use only)
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Medical Cannabis Processor Facility is multi-tiered:

(a) A tier 1 processor license allows the licensee to process, manufacture, dry, cure, package, and label cannabis and cannabis products for sale or transfer to another cannabis processing facility, a medical cannabis pharmacy, or the state central fill medical cannabis pharmacy. A tier 1 processing license is \$100,000 per year.

(b) A tier 2 processor license allows the licensee to package and label cannabis and cannabis products for sale or transfer to another cannabis processing facility or a medical cannabis pharmacy. A tier 2 processing license is \$35,000 per year.

All Facilities must pay a non-refundable application fee of \$1,250.00 before the application is reviewed. Call UDAF's number 801.982.2200 and ask for accounting to make the payment.

Prior to approving an application, the department may contact any applicant and request additional supporting documentation or information. The department may conduct face-to-face interviews with an applicant if needed.

The department shall inspect the premises to determine if the applicant complies with state laws, administrative rules and best practice standards.

Ownership Information

- 1.
- 2.
- 3.
- 4.
- 5.

6.
7.
8.
9.
10.

Ownership Information

An owner is a person who, if the company is privately held, has a financial **or voting interest** of 2% or greater in the cannabis production establishment; or if the entity is publicly traded has more than a 2% financial interest in the company; or is an individual who has the power to direct or cause the management or control of a facility, in other words is a general manager of daily operations.

List all Owners and their positions in the Company.

Legal Name (First & Last)	Role in the Company's Finances and Decisions	DATE OF BIRTH mm/dd/yy	FINGERPRINTS SUBMITTED Y/N

11. The applicant understands that as an applicant and potential licensee you are **REQUIRED** to know the current statutory law, administrative rules and Departmental policies and comply in full? Yes ☒ No ☐

12. Criminal History Background Documents must be submitted for all owners. Background Forms **should NOT be part of the application**. Go to <https://medicalcannabis.utah.gov/production/processors/> for background check information

13. Descriptions of the credentials and experience of each officer, director, and owner and prospective employee who have a financial or voting interest of 2% or greater in the proposed cannabis production establishment; or the power to direct or cause the management or control of a proposed cannabis production establishment

☐ A. A description of any investigation or adverse action taken by any licensing jurisdiction, government agency, law enforcement agency, or court in any state for any violation or detrimental conduct in relation to any of the applicant's cannabis-related operations or businesses.

N/A

Processing Facility Information

14. Application for: Tier 1 ☐ Tier 2 ☒

15. Projected Opening Day: OPEN SINCE JULY 21 Days/hours of operation:

Processing Establishment Property Information

16. Submit for Company's Current Cannabis Processing Facility a Blueprint with:
- a) the square footage of the areas where cannabis is extracted;
 - b) the square footage of the area(s) where cannabis products are manufactured;
 - c) location of all extraction machinery/ stationary equipment;
 - d) the square footage of the areas used for storage and what type of product is stored there (i.e crude oil, untested products, final tested product) ;
 - e) the areas where cannabis is to be dried, trimmed, and cured (if applicable);
 - f) the square footage of the areas where cannabis is to be packaged;
 - g) the location of the toilet facilities and hand washing facilities;
 - h) the location of a break room;
 - i) the location of lobby or area where non-agents can access.
 - j) the location of the areas to be used for loading and unloading of cannabis products for transportation; and
 - k) the location of all cameras and external lights.
17. Proximity: Confirm the proposed location is 1000 feet or more by pedestrian travel to a "community location" and is 600 feet or more by pedestrian travel to any district zoned as primarily residential. Yes

Operating Plan

18. List all product types that will be produced in the upcoming year. (i.e Vapes, Salves, Flower)
19. List all extraction methods used at the facility. Include all solvents, chemicals, and equipment used.
20. Is the facility doing CBD to THC conversion? If yes, outline the facility's methods.
21. Provide all written emergency procedures for Fire, Chemical Spills, and other Emergencies. *This information plus the Material Safety Data Sheet (MSDS) must be easily accessed by all employees.*
22. Submit the facility's most up-to-date security plan. Security plans shall include:
- a) description of security alarm system;
 - b) person(s) notified of potential security breaches and alerts;
 - c) video storage device location: local or cloud storage
 - d) procedures to provide UDAF inspectors immediate access to current and archived video footage when requested.
 - e) any additional security measure in place that exceed the security requirements outlined in R68-28-6
23. Provide the facility's storage protocols, both short and long-term, to ensure all cannabis is stored in a manner that is sanitary and preserves the integrity of the cannabis in accordance to R68-28-9.
24. Provide the processing facility's written plan and procedures to handle potential recalls in accordance to R68-28-14. Include:
- a) The name(s) of persons designated as recall coordinator (R68-28-14(2a);
 - b) the contact information for how to reach staff member(s);
 - c) how will affected parties be notified;
 - d) a written procedure with specifics of what to do in case of a product recall.
25. Submit procedures on how the facility will dispose of Product that fails quality assurance testing. A destruction plan must be written for every product type produced at the processing facility, and must be in compliance with federal and state laws laid out in Utah Code 4-41a-405 and Rule R68-27-15 Cannabis Waste Disposal.

26. Detail the procedures the facility has employed to meet the transport and transfer requirements of Utah Rule R68-28-13. Include the make and model of every vehicle the company will use to transport Cannabis Material.

Compliance

27. Submit proof of a \$50,000 performance bond. **N/A NO BOND REQUIRED, LIQUID CASH ACCOUNT PROVIDED**
28. The facility understands all scales must be certified, as outlined in Utah Administrative Rule 68-28-4 (8). Visit <https://ag.utah.gov/businesses/regulatory-services/weights-measures/> for more information.
- a. Agree **YES**
29. Submit proof of registration as a Manufactured Food Establishment with UDAF's Regulatory Services.
30. Submit a current local business license or permit from the city/municipality.
31. The Facility is responsible for ensuring all employees have background checks and are registered in the Electronic Verification System (EVS). The company is also responsible for collecting agent cards and notifying the Department when an agent leaves their facility. Failure to comply will result in a citation and a fine to the company.
32. Attach all approved Change Requests to the application.
33. Provide the proposed medical cannabis processing facility's employee training standards.
34. Submit the proposed medical cannabis processing facility's security plan. Explain how the processing facility will operate in a facility that meets all security requirements in Utah Administrative Rule R68-28-6.
35. Describe the medical cannabis processing facility's inventory control system; Detail the procedures the cannabis processing facility will employ to meet the Inventory Control System requirements of Utah Code 4-41a-103 Inventory Control System and Utah Administrative Rule R68-28-7 Inventory Control. Including a description of how the facility will be compliance with section 26-61a-103 and use the state electronic verification system to track facility agents.
36. Provide the proposed medical cannabis processing facility's storage protocols, both short and long-term, to ensure all cannabis is stored in a manner that is sanitary and preserves the integrity of the cannabis.
37. Provide the proposed medical cannabis processing facility's written plan and procedures to handle potential recalls and destruction of cannabis because of contamination;
- b. Include the person(s) responsible for enacting a recall.
- c. Outline specifically how each product type in your facility would get destroyed.
38. Submit the procedures documenting how the cannabis processing facility will dispose of excess and medical cannabis waste in compliance with federal and state laws.
39. Provide the facility's plan and procedures to have a representative sample of cannabis and cannabis tested by an independent cannabis testing laboratory to determine if the product is safe for human consumption.

40.Compliance

41. Detail the procedures the medical cannabis processing facility will employ to meet the transport and transfer requirements of Utah Code 4-41a-404 "Cannabis, cannabis product, or medical cannabis device transportation" and Utah Administrative Rule R68-28-13. Transportation.
42. Provide proof of a \$50,000 performance bond that a surety business in the state issues. This can be done after and intent to license has been issued.
43. Registration as a Manufactured Food Establishment with the UDAF's Regulatory Services

44. Copy of current local business license or letter of intent from the county/municipality, or a letter from the city/municipality stating their intent to issue a permit once the facility is licensed.

Applicant understands the requirements for licensure are based on current statute and rule and are subject to change. Applicant agrees as a condition of licensing that he has read and will abide by the provisions of Utah Code 4-41a and all rules promulgated thereunder and all directives of the Utah Department of Agriculture and Food. The applicant also understands that failure to adhere to or maintain the qualifications of their license, may result in suspension or revocation of the license and/or forfeiture of the performance bond or any other remedies allowed by law.

Applicant agrees to immediately notify the department of any change in ownership or financial interest of the facility; the facility's name, change in location, change in testing methods, equipment, remodeling, expansion, reduction or physical, non-cosmetic alteration of the facility, change in written operating procedures, or change in any information submitted in this application.

The undersigned acknowledges that representatives of the Utah Department of Agriculture and Food may inspect the records and facility of a cannabis production establishment at any time during business hours to determine and ensure the cannabis production establishment is in compliance with the law. Failure to provide the department or the department's authorized agents immediate access to records and facilities during business hours in accordance with this section may result in a civil monetary penalty; license or registration suspension or revocation; or an immediate cessation of operations under a cease and desist order issued by the department.

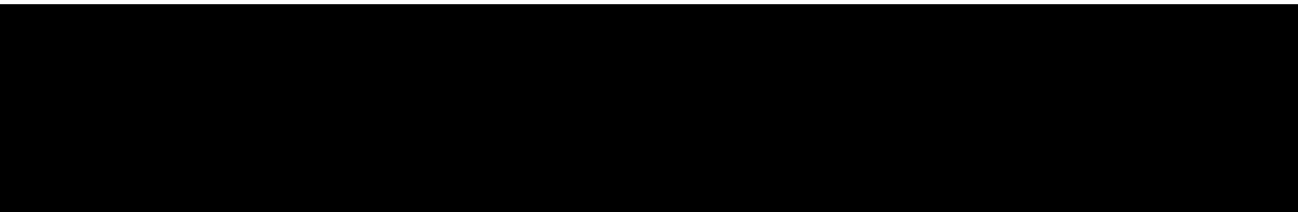
Disclaimer

Applicant acknowledges and understands that cultivating, possessing, using, distributing and/or selling marijuana is prohibited by federal law, notwithstanding Utah law or any authorizations in the Agent or this Registration to the contrary. Nothing in this Application is intended to provide any guidance or assistance in violating or complying with existing federal laws regulating marijuana cultivation, distribution, or use. Similarly, compliance with state law or the terms of this Registration, or possession of the registration card does not confer immunity from enforcement of federal law or federal enforcement practices. Further, nothing in this Application or the Registration Card shall be construed as advice with regard to compliance with applicable federal, state, or local tax laws or any regulatory consequences of engaging in any business in this industry.

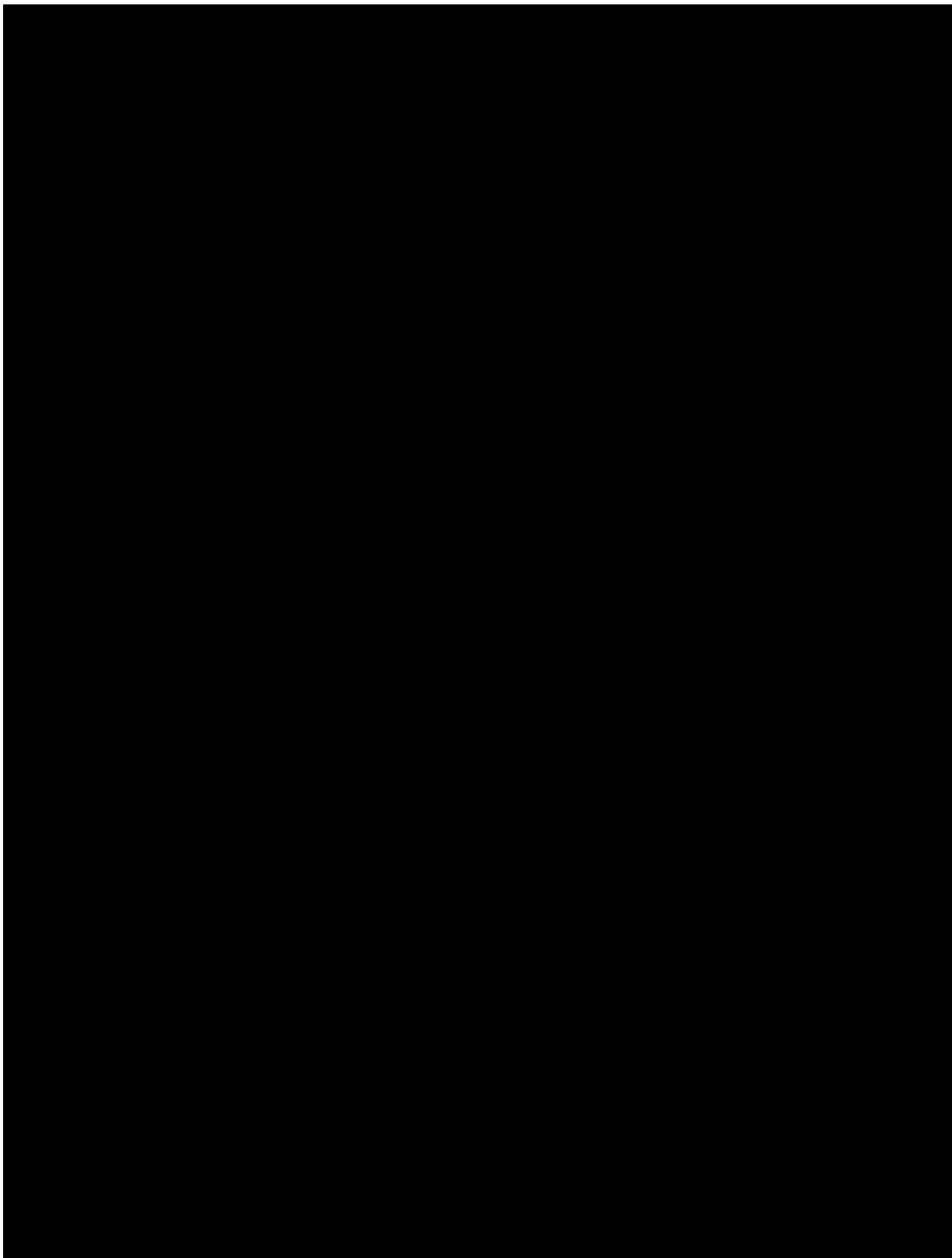
The undersigned acknowledges that he/she has read and understands the statements herein and the execution thereof is done voluntarily and by the authorization of the applicant entity.

The undersigned hereby makes application to the Utah Department of Agriculture and Food and certifies that the information contained herein and attached here is true and correct.

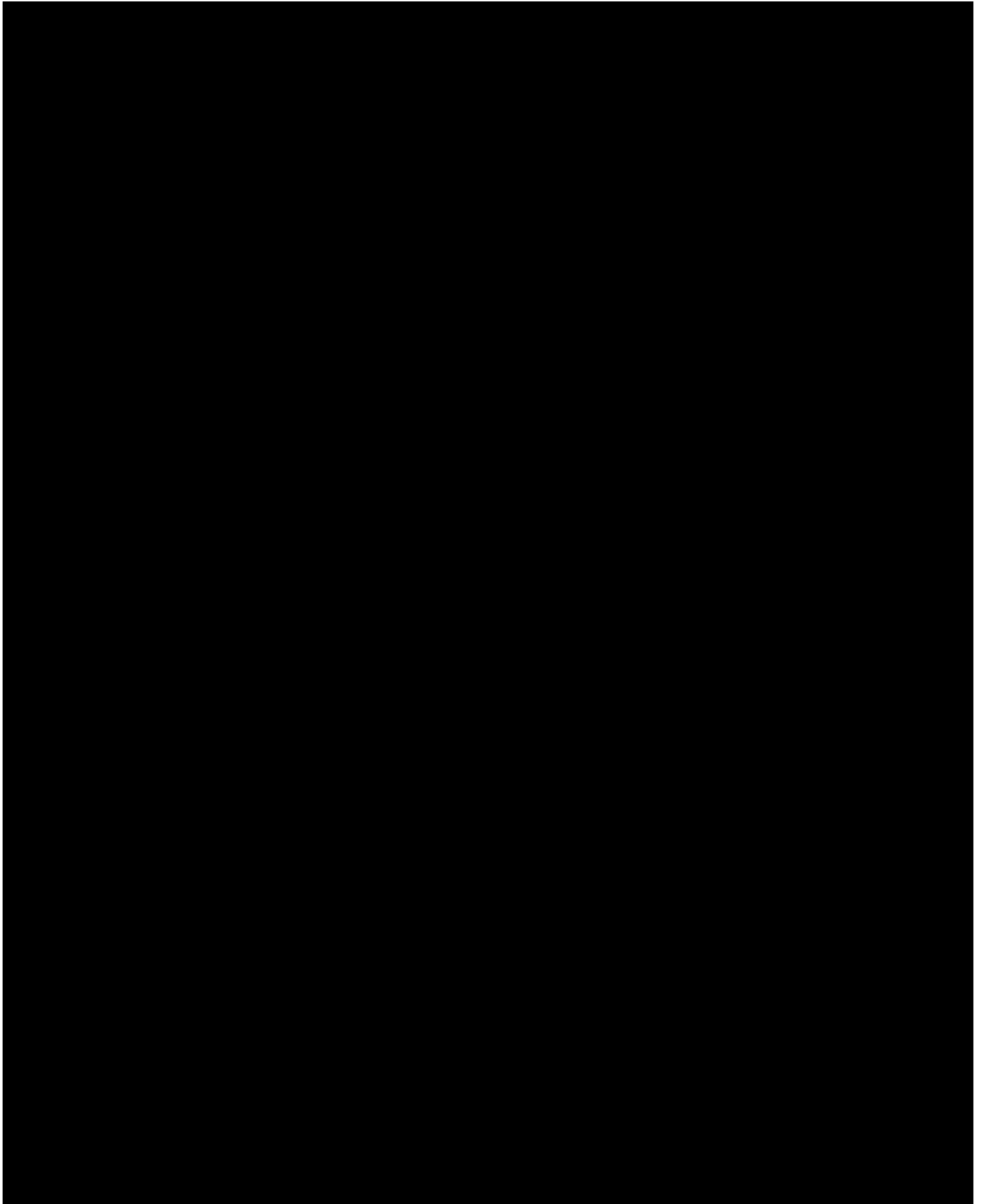
If selected for a license, applicant agrees to pay the required license fee (\$100,000 Tier 1 or \$35,000 Tier 2) within 30 days of being notified of licensure decision.



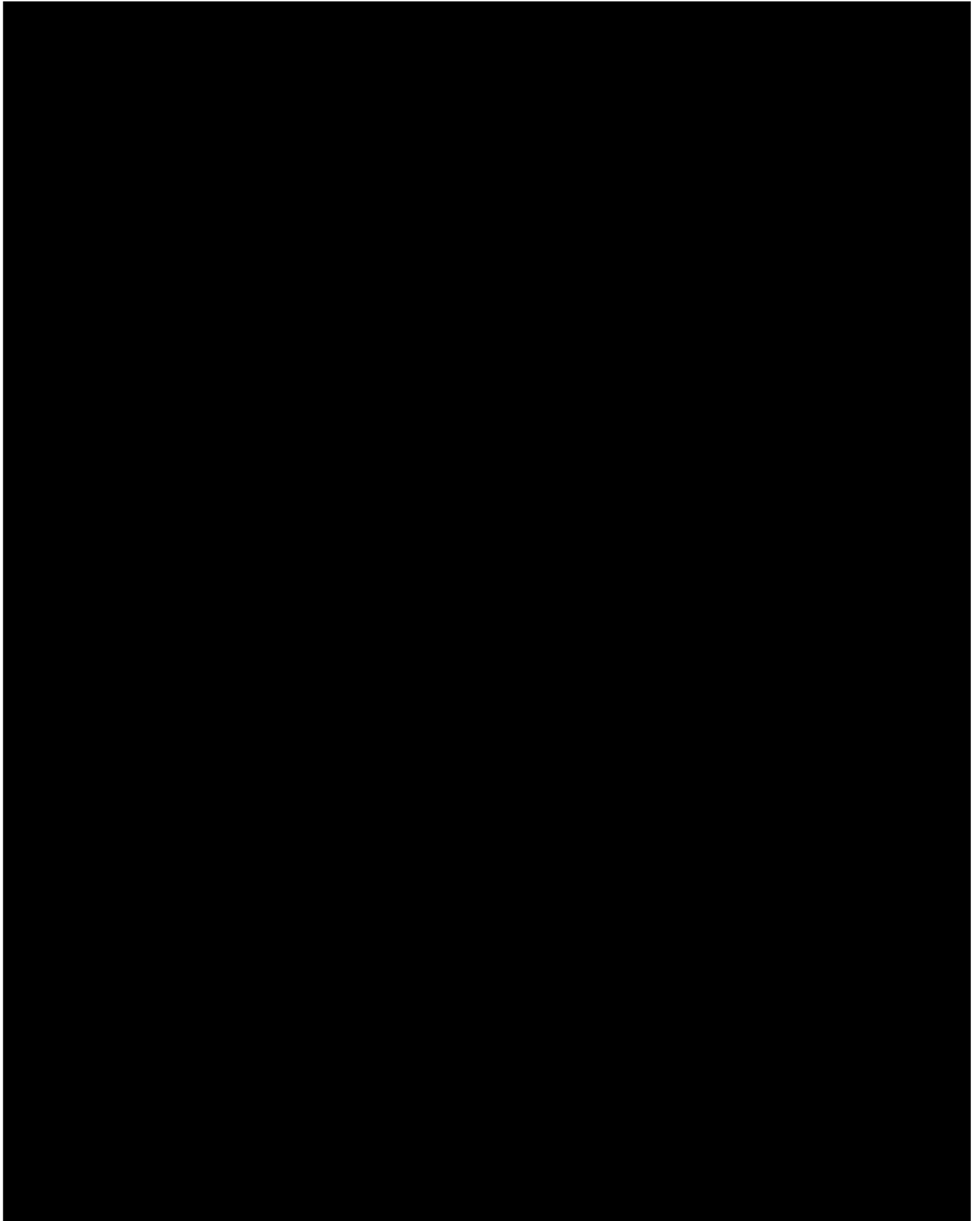
PROCESSING ESTABLISHMENT PROPERTY INFORMATION



Operating Plan



Security Plan



Access for UDAF

UDAF inspectors have real-time, live access to video footage. The required software has been provided to the UDAF, including a username and password, to permit remote live viewing. Archived video footage is provided as needed within 24 hours of request.

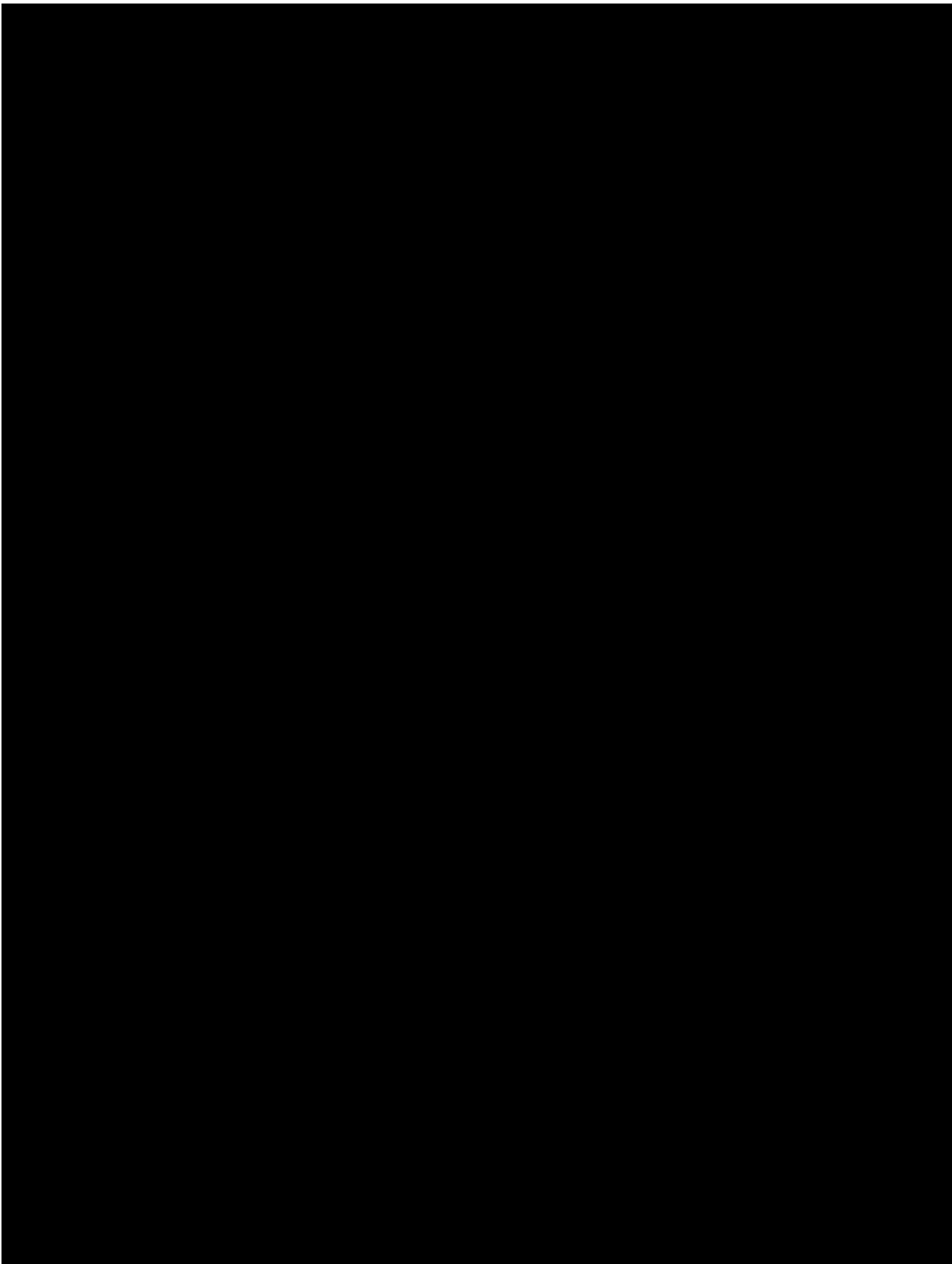
Visitor Protocols

All visitors are required to wear a visitor ID badge displayed clearly on the visitor's person. All visitors are escorted and visually supervised by a facility employee throughout the duration of the visit. Visitors also enter the following information into a visitor log:

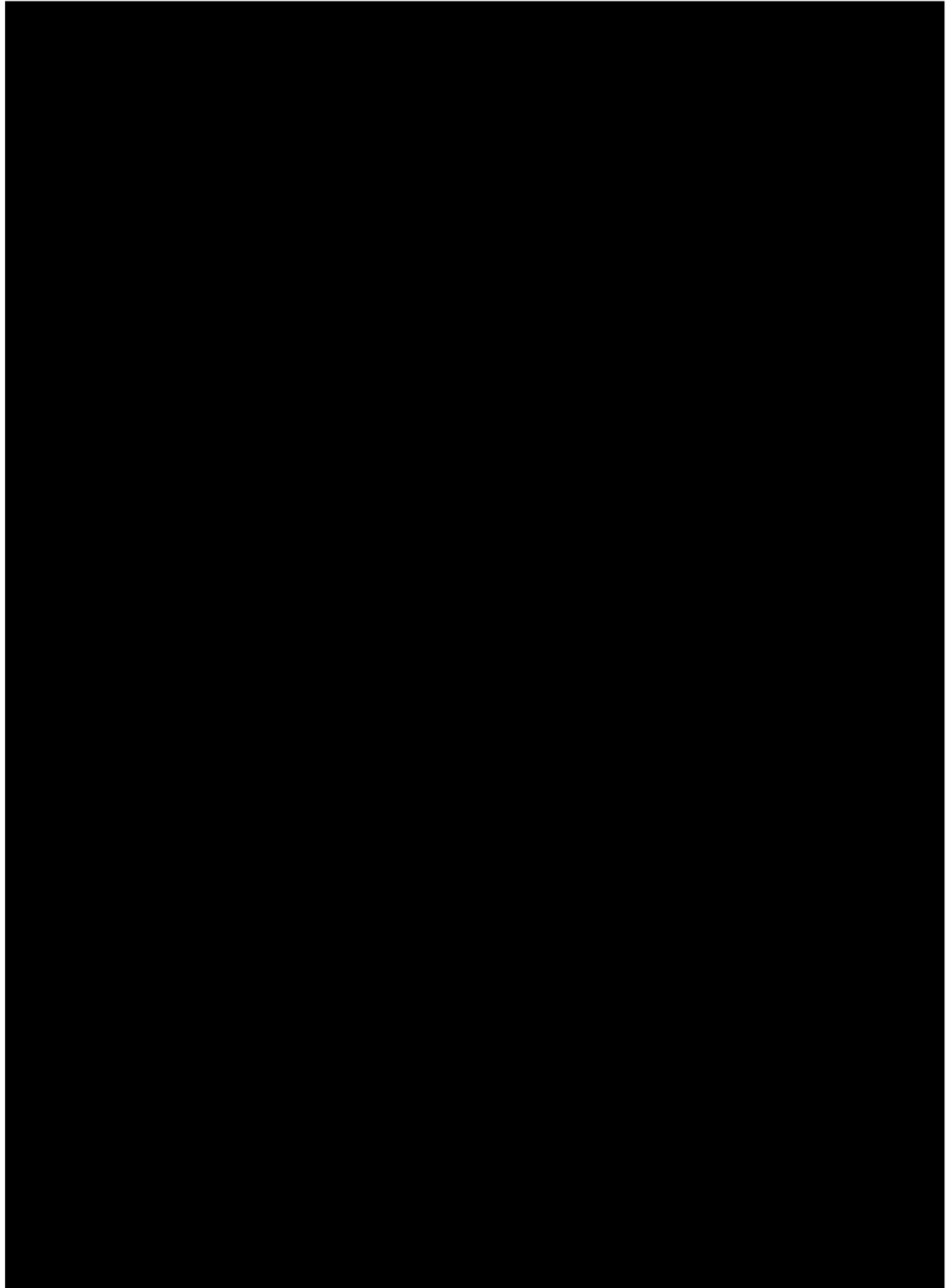
- The visitor's full name
- The badge number issued
- Company (if relevant)
- The time of arrival
- Time of departure (after visit concludes)
- The purpose of the visit

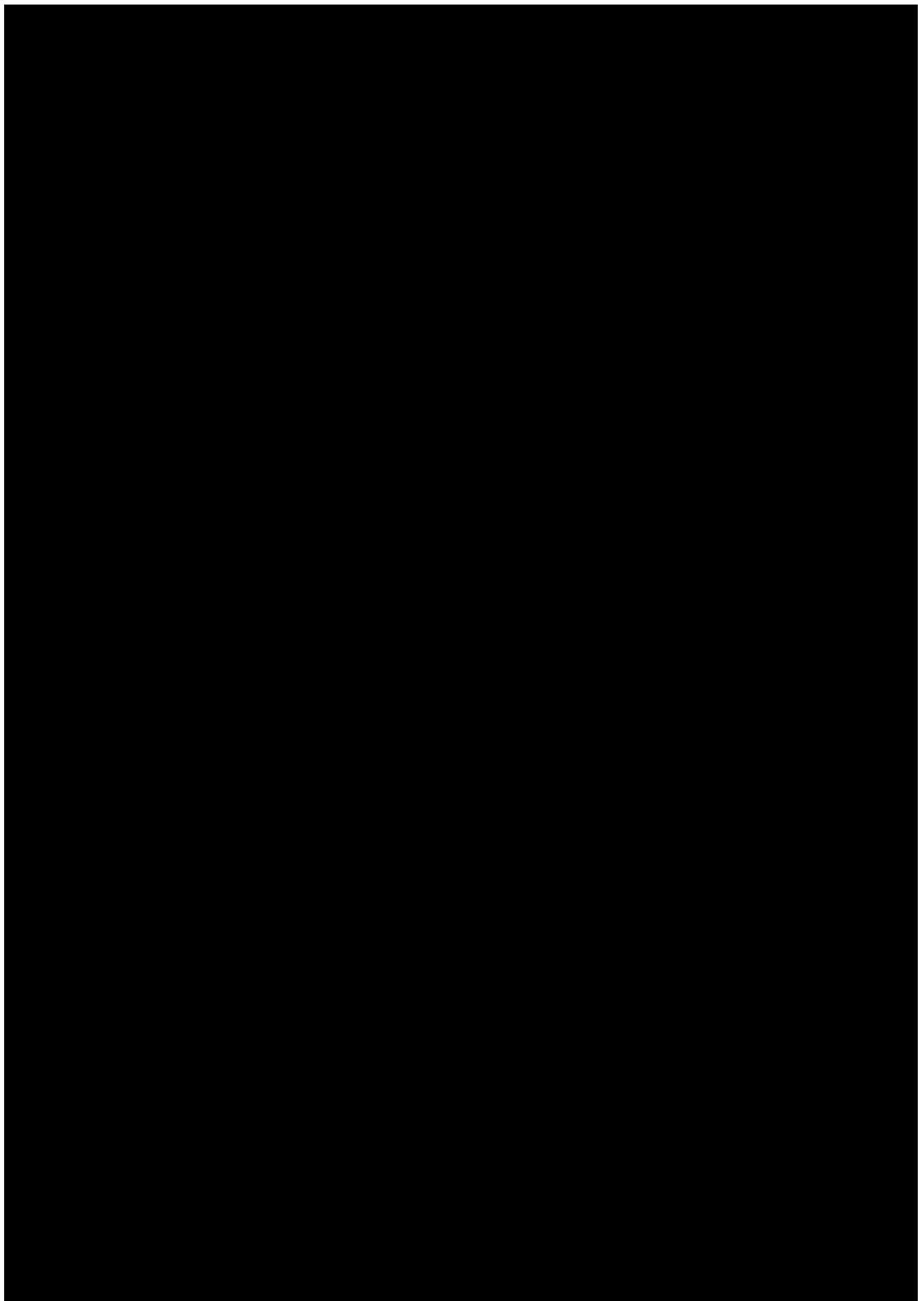
The visitor log is maintained for a minimum of one year and is made available to the department upon request.

Storage of Cannabis Products

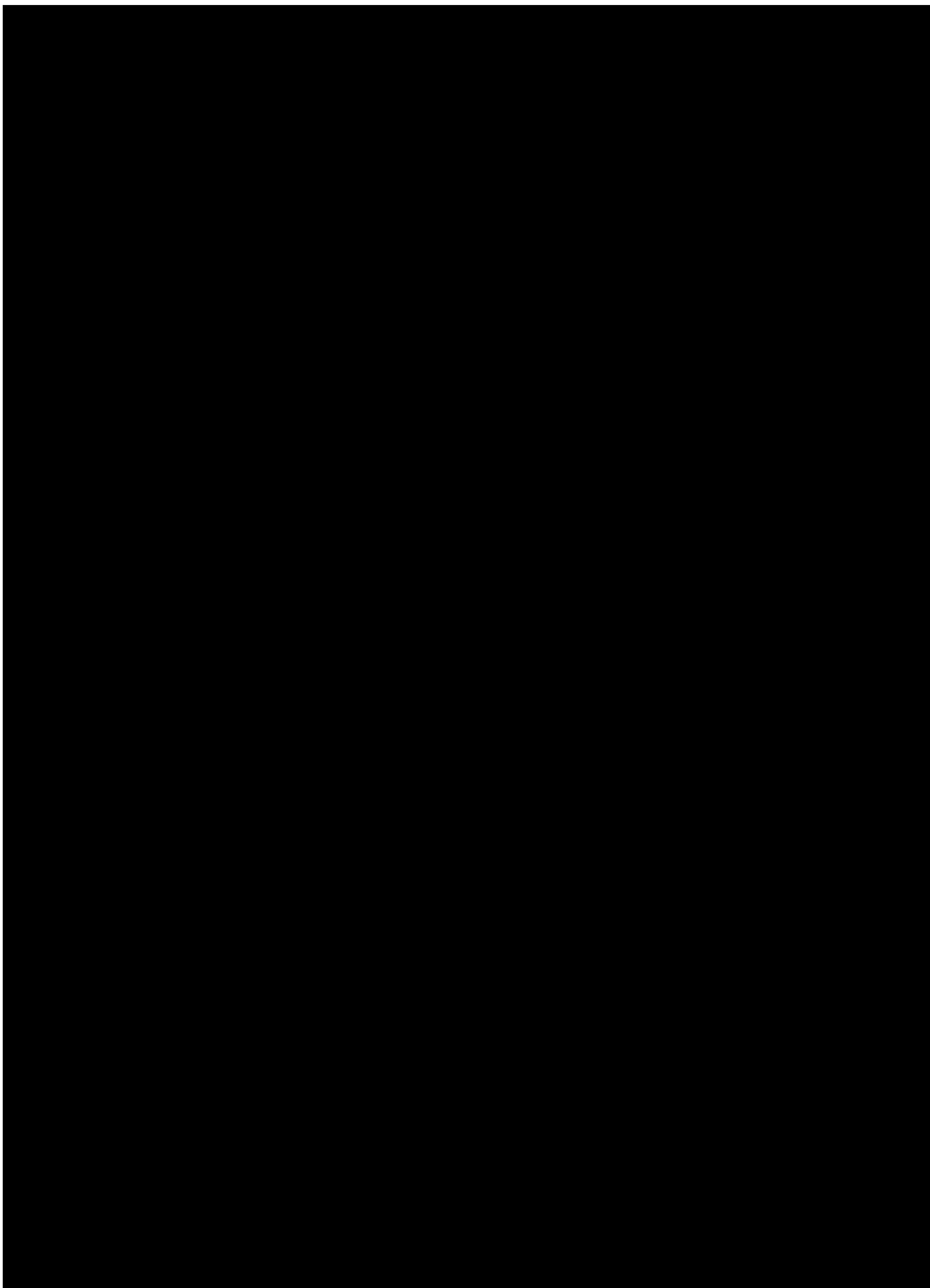


Recalls and Destruction



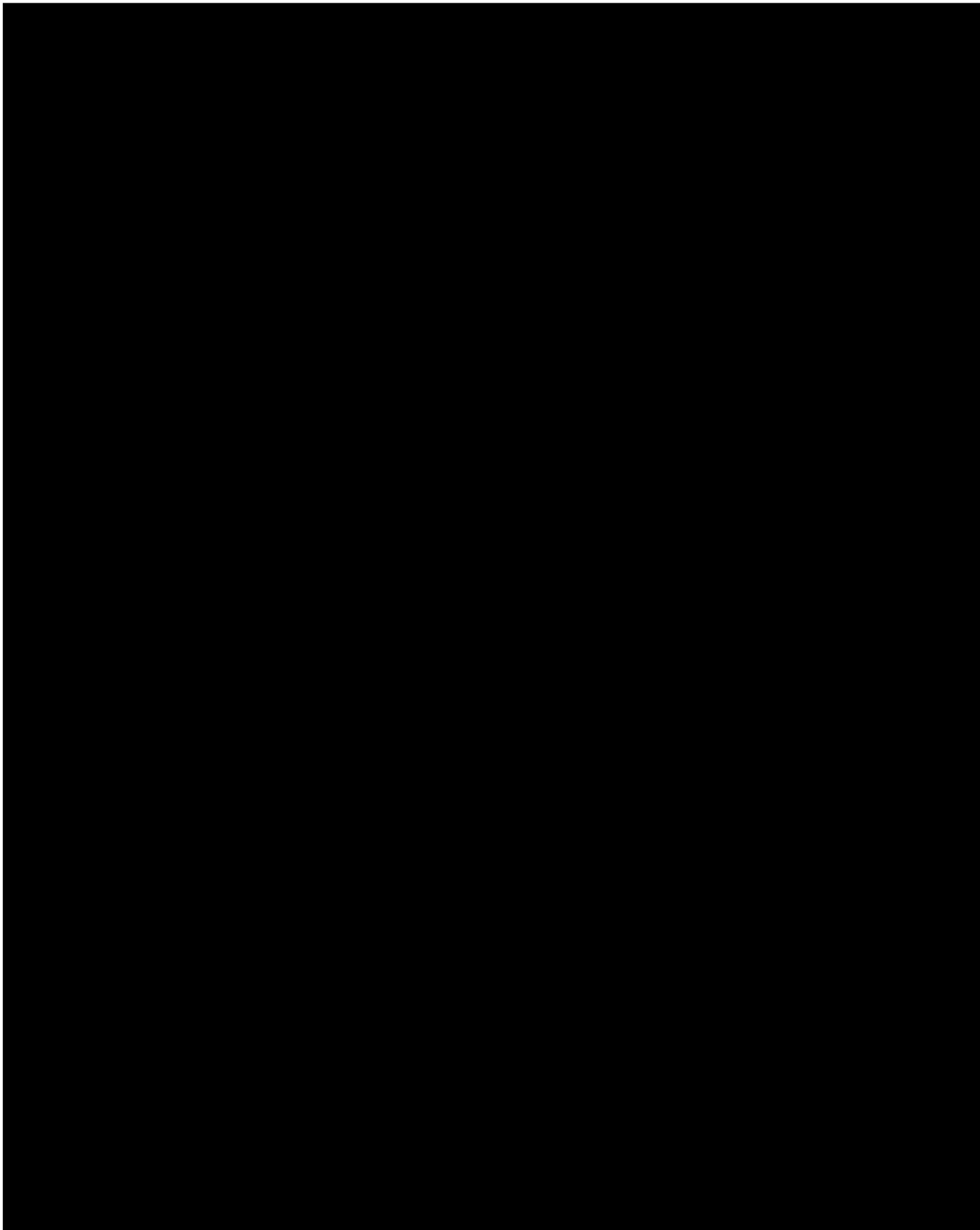


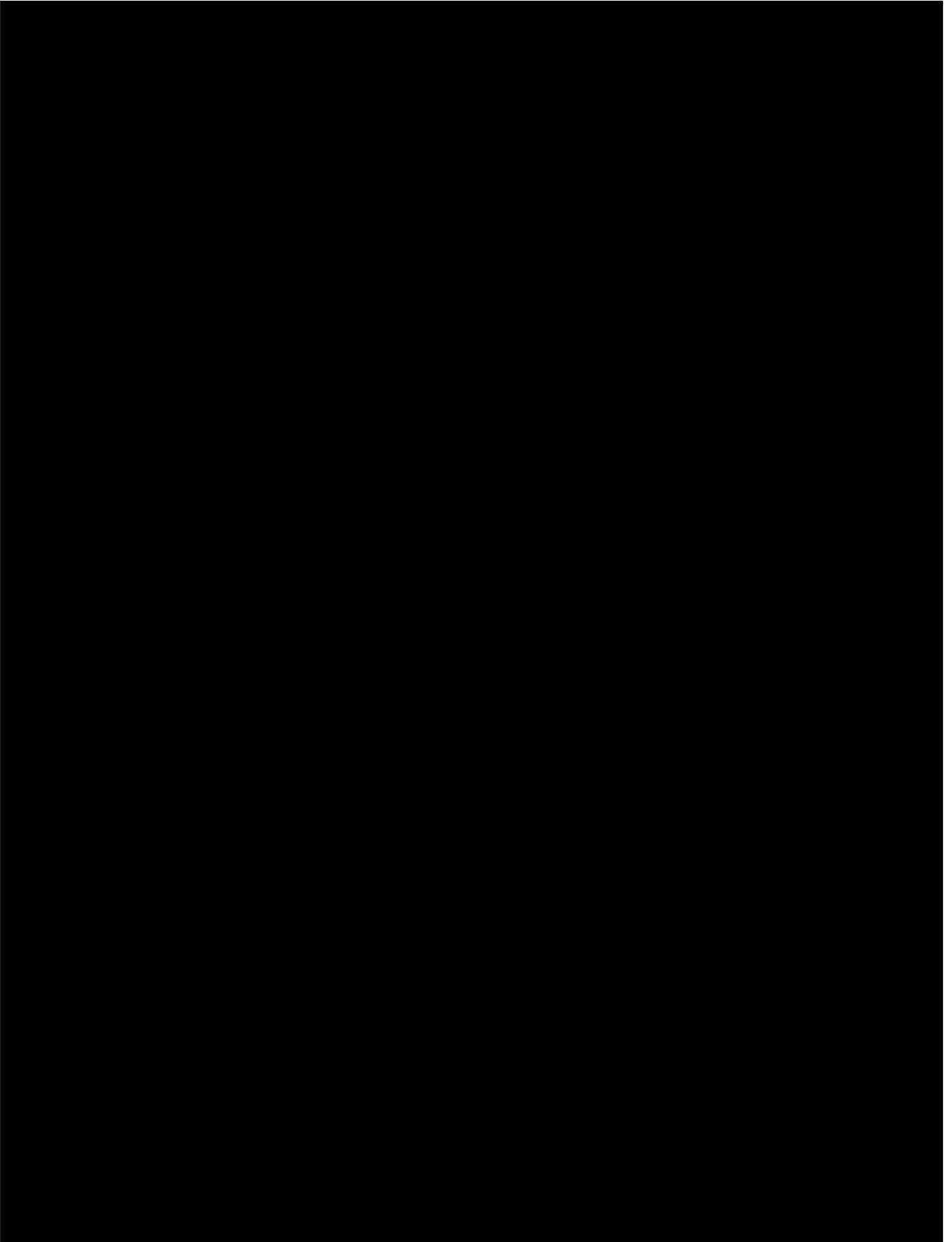
Transport

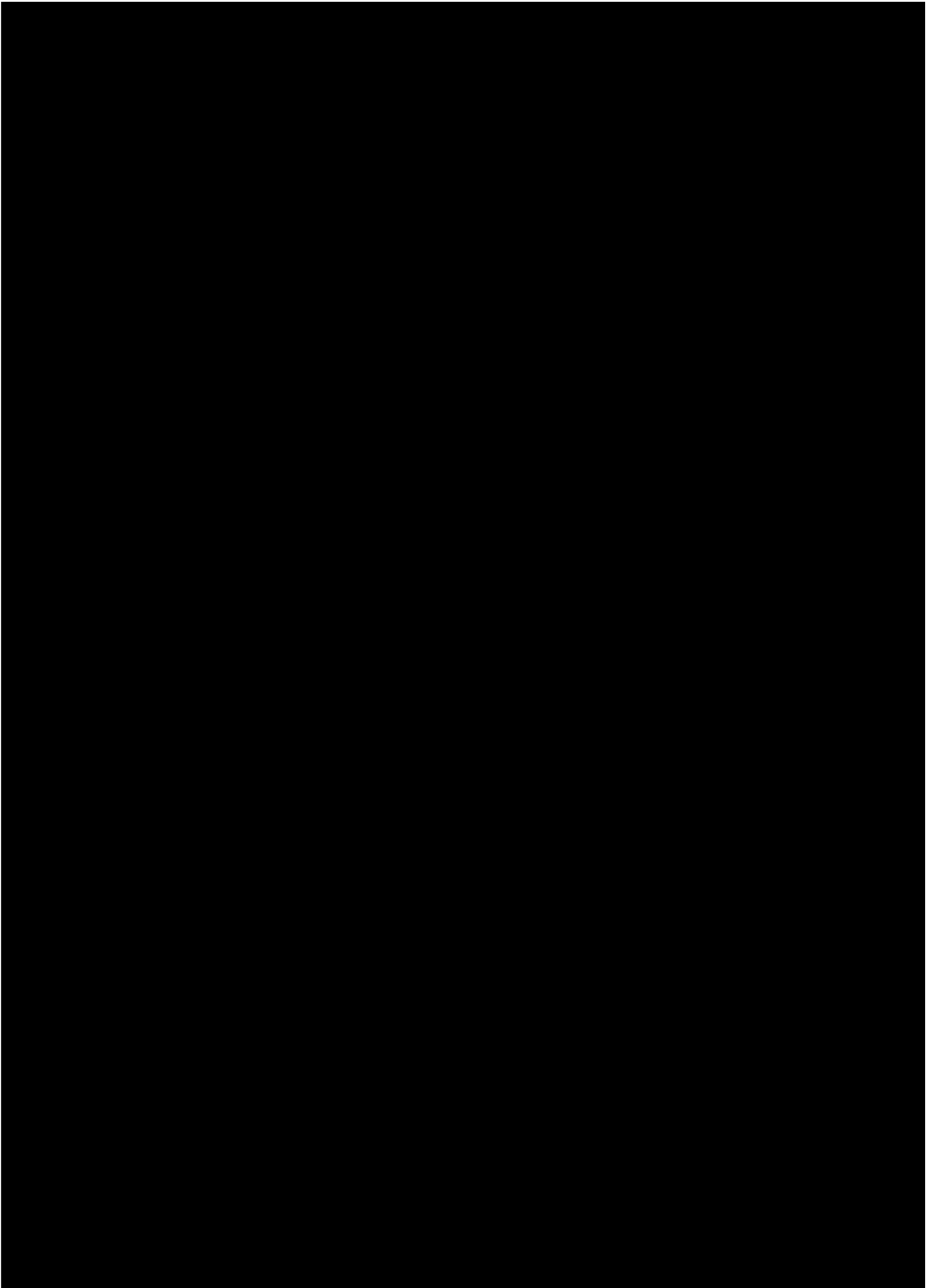


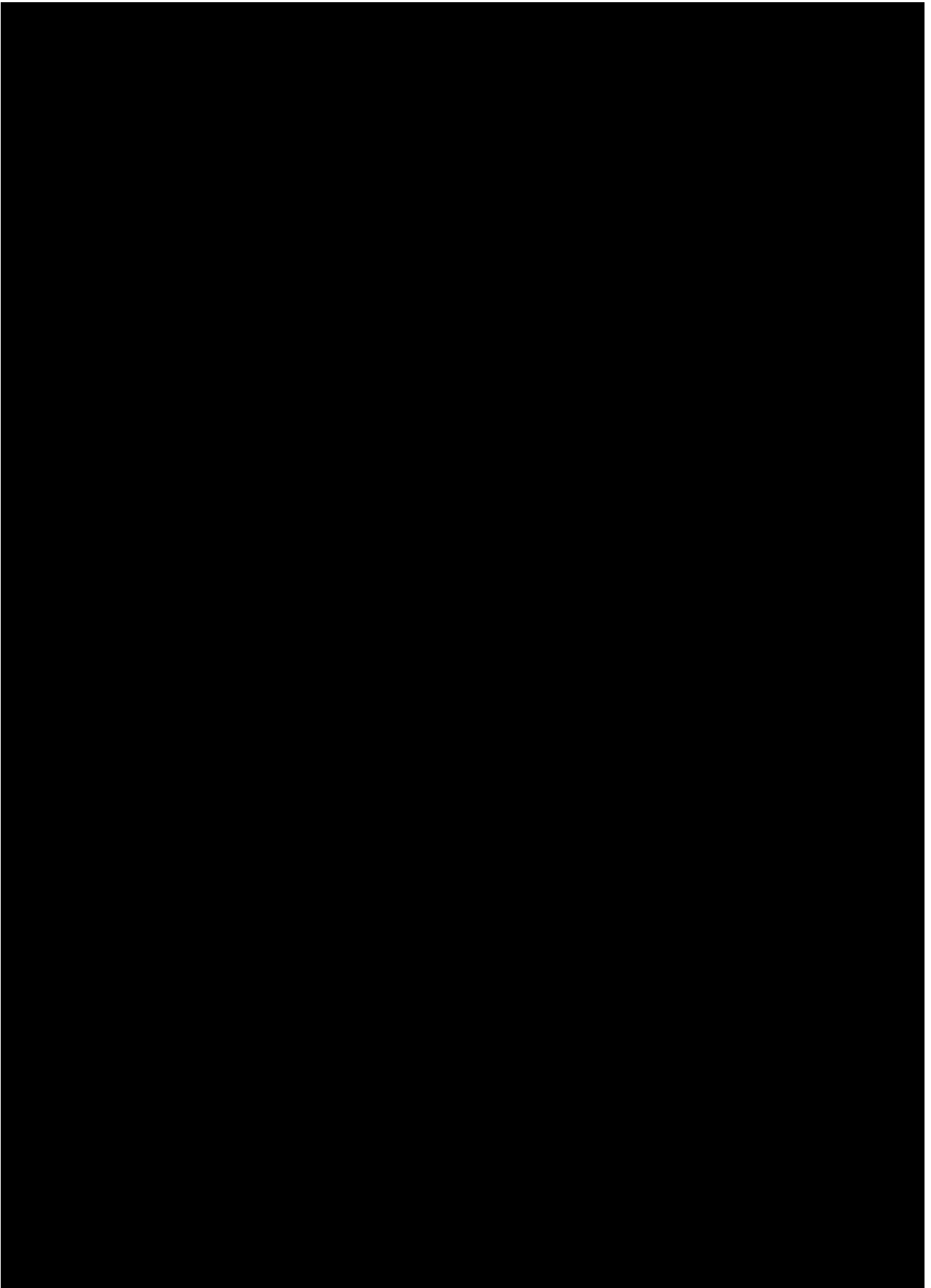


Cannabis Waste Disposal

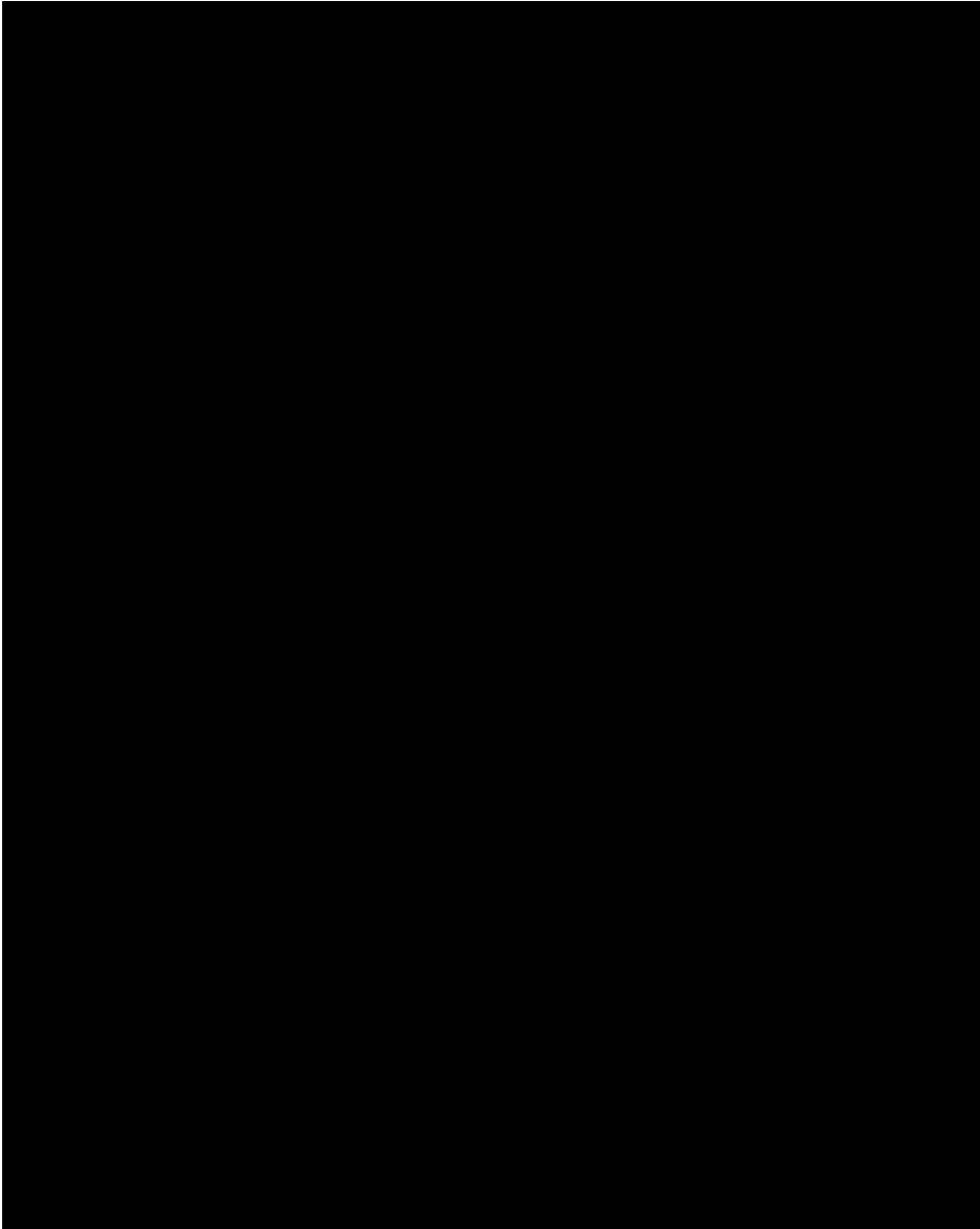






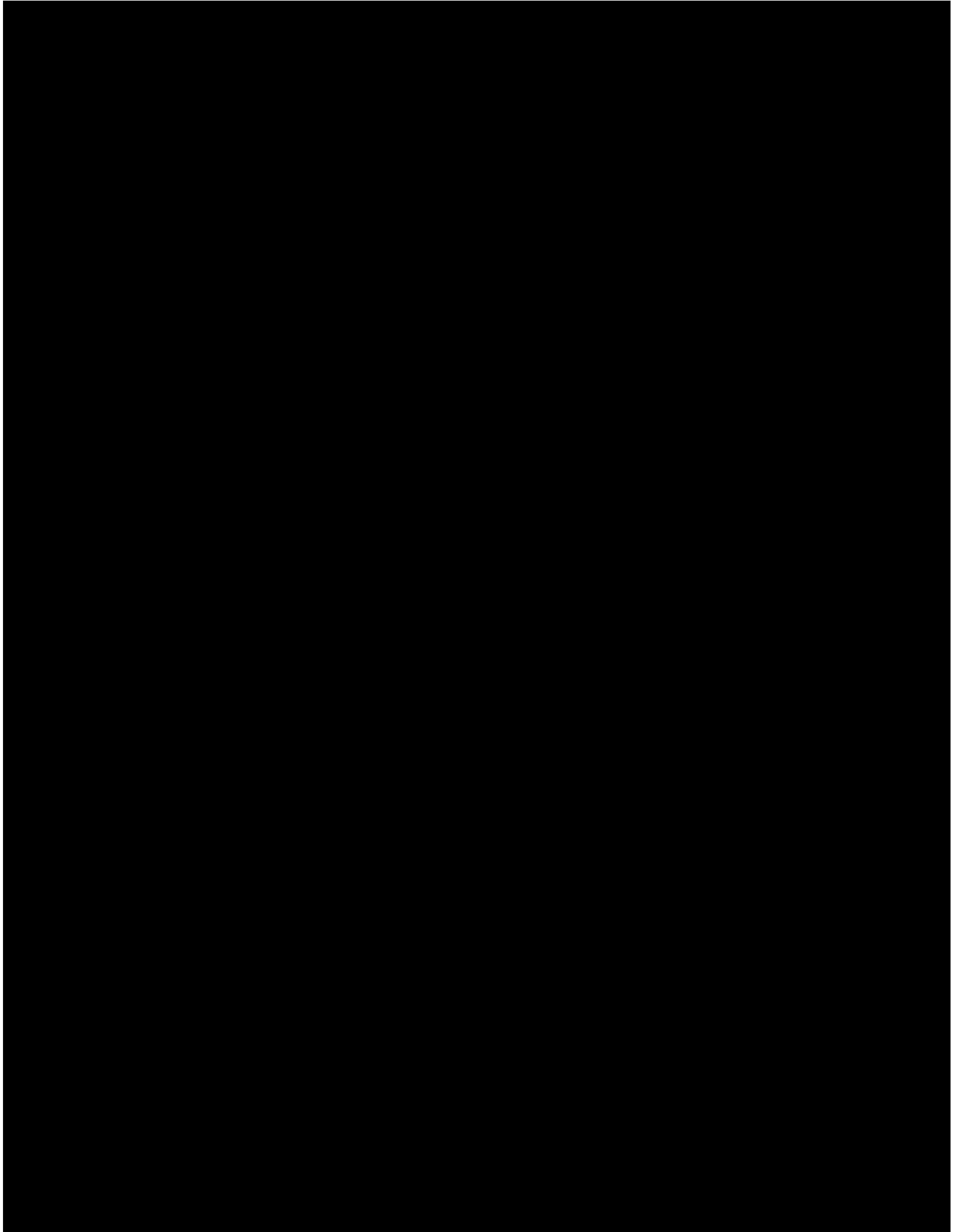


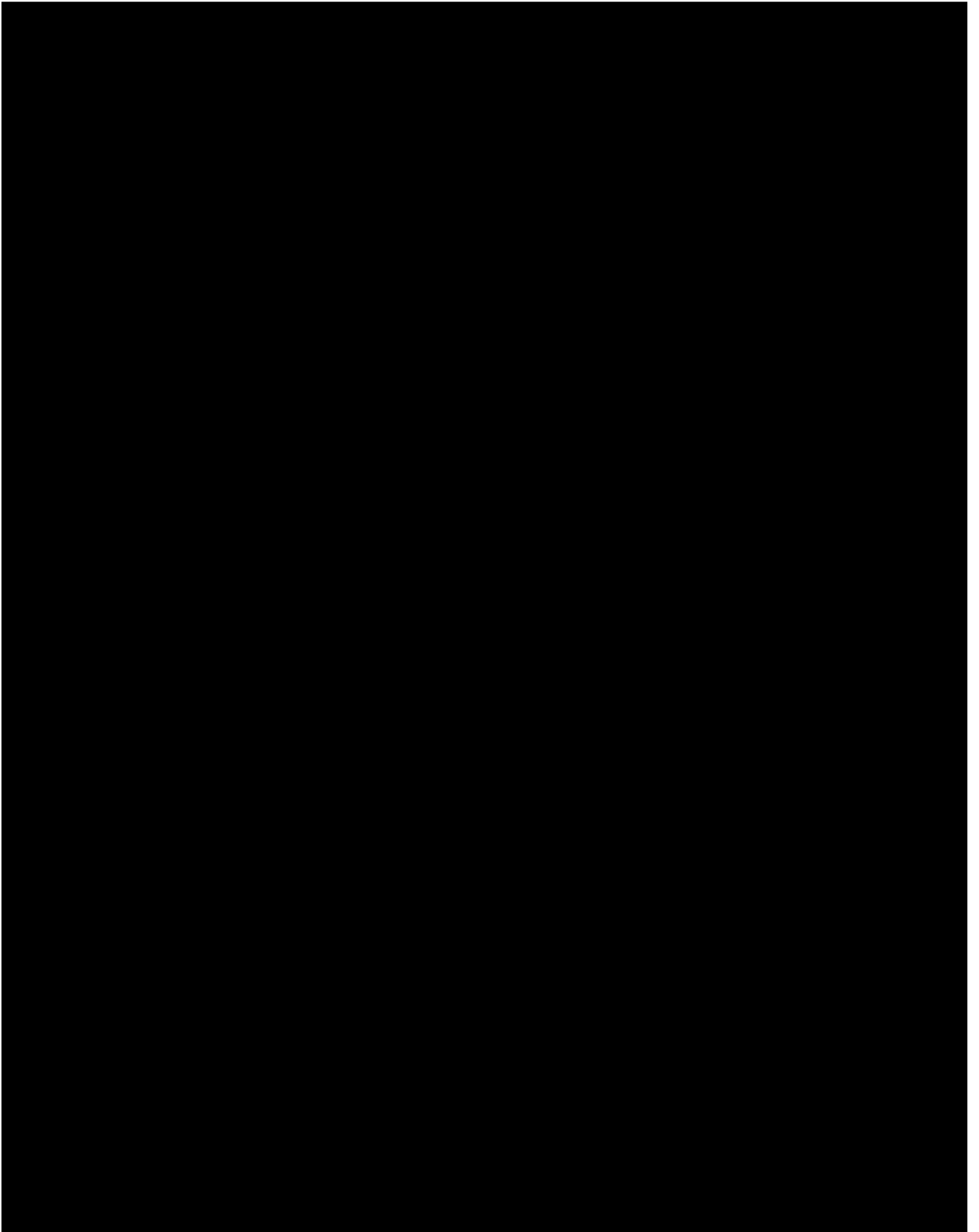
TITLE: Recall and Traceability Program		Page 6 of 8
DOCUMENT # GMP-010-HRV	VERSION # 2.0	





Compliance





**POWER OF ATTORNEY
UNITED STATES FIRE INSURANCE COMPANY
PRINCIPAL OFFICE - MORRISTOWN, NEW JERSEY**

0736121

KNOW ALL MEN BY THESE PRESENTS: That United States Fire Insurance Company, a corporation duly organized and existing under the laws of the state of Delaware, has made, constituted and appointed, and does hereby make, constitute and appoint:

Aksel Firat, Aaron West

each, its true and lawful Attorney(s)-In-Fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver: Any and all bonds and undertakings of surety and other documents that the ordinary course of surety business may require, and to bind United States Fire Insurance Company thereby as fully and to the same extent as if such bonds or undertakings had been duly executed and acknowledged by the regularly elected officers of United States Fire Insurance Company at its principal office, in amounts or penalties not exceeding: Seven Million, Five Hundred Thousand Dollars (\$7,500,000).

This Power of Attorney limits the act of those named therein to the bonds and undertakings specifically named therein, and they have no authority to bind United States Fire Insurance Company except in the manner and to the extent therein stated.

This Power of Attorney revokes all previous Powers of Attorney issued on behalf of the Attorneys-In-Fact named above and expires on January 31, 2022.

This Power of Attorney is granted pursuant to Article IV of the By-Laws of United States Fire Insurance Company as now in full force and effect, and consistent with Article III thereof, which Articles provide, in pertinent part:

Article IV, Execution of Instruments - Except as the Board of Directors may authorize by resolution, the Chairman of the Board, President, any Vice-President, any Assistant Vice President, the Secretary, or any Assistant Secretary shall have power on behalf of the Corporation:

(a) to execute, affix the corporate seal manually or by facsimile to, acknowledge, verify and deliver any contracts, obligations, instruments and documents whatsoever in connection with its business including, without limiting the foregoing, any bonds, guarantees, undertakings, recognizances, powers of attorney or revocations of any powers of attorney, stipulations, policies of insurance, deeds, leases, mortgages, releases, satisfactions and agency agreements;

(b) to appoint, in writing, one or more persons for any or all of the purposes mentioned in the preceding paragraph (a), including affixing the seal of the Corporation.

Article III, Officers, Section 3.11, Facsimile Signatures. The signature of any officer authorized by the Corporation to sign any bonds, guarantees, undertakings, recognizances, stipulations, powers of attorney or revocations of any powers of attorney and policies of insurance issued by the Corporation may be printed, facsimile, lithographed or otherwise produced. In addition, if and as authorized by the Board of Directors, dividend warrants or checks, or other numerous instruments similar to one another in form, may be signed by the facsimile signature or signatures, lithographed or otherwise produced, of such officer or officers of the Corporation as from time to time may be authorized to sign such instruments on behalf of the Corporation. The Corporation may continue to use for the purposes herein stated the facsimile signature of any person or persons who shall have been such officer or officers of the Corporation, notwithstanding the fact that he may have ceased to be such at the time when such instruments shall be issued.

IN WITNESS WHEREOF, United States Fire Insurance Company has caused these presents to be signed and attested by its appropriate officer and its corporate seal hereunto affixed this 22nd day of August 2019,

UNITED STATES FIRE INSURANCE COMPANY



Anthony R. Sliemowicz

Anthony R. Sliemowicz, President

State of Pennsylvania)
County of Philadelphia)

On this 22nd day of August 2019, before me, a Notary public of the State of Pennsylvania, came the above named officer of United States Fire Insurance Company, to me personally known to be the individual and officer described herein, and acknowledged that he executed the foregoing instrument and affixed the seal of United States Fire Insurance Company thereto by the authority of his office.

Commonwealth of Pennsylvania -- Notary Seal
Tamara Watkins, Notary Public
Philadelphia County
My commission expires August 22, 2023
Commission number 1348843

Tamara Watkins

(Notary Public)

I, the undersigned officer of United States Fire Insurance Company, a Delaware corporation, do hereby certify that the original Power of Attorney of which the foregoing is a full, true and correct copy is still in force and effect and has not been revoked.

IN WITNESS WHEREOF, I have hereunto personally signed and affixed the corporate seal of United States Fire Insurance Company on the 6th day of January 2022

UNITED STATES FIRE INSURANCE COMPANY



Al Wright

Al Wright, Senior Vice President

POWER OF ATTORNEY
UNITED STATES FIRE INSURANCE COMPANY
PRINCIPAL OFFICE - MORRISTOWN, NEW JERSEY

0736121

KNOW ALL MEN BY THESE PRESENTS: That United States Fire Insurance Company, a corporation duly organized and existing under the laws of the state of Delaware, has made, constituted and appointed, and does hereby make, constitute and appoint:

Aksel Firat, Aaron West

each, its true and lawful Attorney(s)-In-Fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver: Any and all bonds and undertakings of surety and other documents that the ordinary course of surety business may require, and to bind United States Fire Insurance Company thereby as fully and to the same extent as if such bonds or undertakings had been duly executed and acknowledged by the regularly elected officers of United States Fire Insurance Company at its principal office, in amounts or penalties not exceeding: **Seven Million, Five Hundred Thousand Dollars (\$7,500,000)**.

This Power of Attorney limits the act of those named therein to the bonds and undertakings specifically named therein, and they have no authority to bind United States Fire Insurance Company except in the manner and to the extent therein stated.

This Power of Attorney revokes all previous Powers of Attorney issued on behalf of the Attorneys-In-Fact named above and expires on January 31, 2022.

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Article IV, Execution of Instruments - Except as the Board of Directors may authorize by resolution, the Chairman of the Board, President, any Vice-President, any Assistant Vice President, the Secretary, or any Assistant Secretary shall have power on behalf of the Corporation:

(a) to execute, affix the corporate seal manually or by facsimile to, acknowledge, verify and deliver any contracts, obligations, instruments and documents whatsoever in connection with its business including, without limiting the foregoing, any bonds, guarantees, undertakings, recognizances, powers of attorney or revocations of any powers of attorney, stipulations, policies of insurance, deeds, leases, mortgages, releases, satisfactions and agency agreements;

(b) to appoint, in writing, one or more persons for any or all of the purposes mentioned in the preceding paragraph (a), including affixing the seal of the Corporation.

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IN WITNESS WHEREOF, United States Fire Insurance Company has caused these presents to be signed and attested by its appropriate officer and its corporate seal hereunto affixed this 22nd day of August 2019.

UNITED STATES FIRE INSURANCE COMPANY

Anthony R. Slimowicz
Anthony R. Slimowicz, President

State of Pennsylvania }
County of Philadelphia }

On this 22nd day of August 2019, before me, a Notary public of the State of Pennsylvania, came the above named officer of United States Fire Insurance Company, to me personally known to be the individual and officer described herein, and acknowledged that he executed the foregoing instrument and affixed the seal of United States Fire Insurance Company thereto by the authority of his office.

Commonwealth of Pennsylvania - Notary Seal
Tamara Watkins, Notary Public
Philadelphia County
My commission expires August 22, 2023
Commission number 1348843

Tamara Watkins
Tamara Watkins

(Notary Public)

I, the undersigned officer of United States Fire Insurance Company, a Delaware corporation, do hereby certify that the original Power of Attorney of which the foregoing is a full, true and correct copy is still in force and effect and has not been revoked.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of United States Fire Insurance Company on the 6th day of January 2022

UNITED STATES FIRE INSURANCE COMPANY

Al Wright
Al Wright, Senior Vice President

